



NTPC Limited

(A Govt. of India Enterprises)
Corporate Centre

Ref. No.01:SEC:LA:4
Dated : 29.10.2020

General Manager Department of Corporate Services BSE Limited Floor 25, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400 001 Scrip Code: 532555	Manager Listing Department National Stock Exchange of India Limited "Exchange Plaza", Bandra-Kurla Complex, Bandra (E), Mumbai -400 051 Scrip Code: NTPC
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Sub.: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended 30.9.2020

Dear Sir/Madam,

Pursuant to Regulation 40 (10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a Certificate issued by M/s Manish Ghia & Associates, Company Secretaries for the half-year ended 30.9.2020 in compliance with Regulation 40 (9) of the above mentioned Regulations, for your information and record.

Thanking you,

Yours faithfully,

Nandini Sarkar

(Nandini Sarkar)
Company Secretary &
Compliance Officer

Encl: as above

CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2020

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **NTPC Limited** having its registered office at NTPC Bhawan, Scope Complex, 7, Institutional Area, Lodi Road, New Delhi – 110003 maintained by **Alankit Assignments Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1st April, 2020 to 30th September, 2020**, for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, by **NTPC Limited** and based on information provided by the Company, we hereby certify that during the half year ended on **30th September, 2020** the Company:

- a) was not required to deliver any share certificates as it has not received any request for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies.

**For Manish Ghia & Associates
Company Secretaries**



**Place: Mumbai
Date: October 29, 2020
UDIN: F006252B001093525**

**CS Manish L. Ghia
Partner
M. No. FCS 6252, C.P. No. 3531**

Note: We have not been able to carry out physical visit to RTA office for verification of data on account of various travel and other restrictions by authorities due to Covid-19 situation. The Report is as such based on inputs received via email from RTA and oral confirmation with RTA.