

Format of Holding of Specified securities: Equity Shares

1.	Name of Listed Entity:	NTPC LTD
2.	Scrip Code/Name of Scrip/Class of Security:	BSE scrip code:532555, NSE: NTPC-EQ
3.	Share Holding Pattern Filed under:	Reg.31(1)(b) as on 30.9.2020
4.	Declaration :	
a	Whether the Listed Entity has issued any partly paid up shares	No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
c	Whether the Listed Entity has any shares against which depository receipts are issued?	No
d	Whethere the Listed Entity has any shares in locked-in?	No
e	Whethere any shares held by promoters are pledge or otherwise encumbered?	No

The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares held	No of Shares Underlying Depository Receipts	Total No. of Shares Held	Shareholding as a % of total no. of shares	Number of Voting Rights held in each class of securities			No. of Shares Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	1	5048097508	0	0	5048097508	51.02	5048097508	0	5048097508	51.02	0	51.02	0	0	0	0	5048097508
(B)	Public	728847	4846459772	0	0	4846459772	48.98	4846459772	0	4846459772	48.98	0	48.98	0	0	0	0	4846373262
(C)	Non Promoter-Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total:	728848	9894557280	0	0	9894557280	100.00	9894557280	0	9894557280	100.00	0	100.00	0	0.00	0	0.00	9894470770

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	PAN	No of Share holders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No. of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+CZ)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
									Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)			
	Indian																		
(a)	Individuals/Hindu undivided Family		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(b)	Central Government/State Government(s)		1	5048097508	0	0	5048097508	51.02	5048097508	0	5048097508	51.02	0	51.02	0	0	0	0	
	PRESIDENT OF INDIA	EXEMPTCATG	1	5048097508	0	0	5048097508	51.02	5048097508	0	5048097508	51.02	0	51.02	0	0	0	0	
(c)	Financial Institutions/Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(d)	Any Other		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub-Total (A)(1)		1	5048097508	0	0	5048097508	51.02	5048097508	0	5048097508	51.02	0	51.02	0	0.00	0	0.00	
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals /Foreign Individuals		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
(e)	Any Other		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
	Total Shareholding of Promoter and Promoter Group (A)=(A1)+(A2)		1	5048097508	0	0	5048097508	51.02	5048097508	0	5048097508	51.02	0	51.02	0	0.00	0	0.00	

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	PAN	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)			
(1)	Institutions																		
(a)	Mutual Funds		33	1985955143	0	0	1985955143	20.07	1985955143	0	1985955143	20.07	0	20.07	0	0	0	0	1985955143
	CPSE EXCHANGE TRADED SCHEME (CPSE ETF)	AAATRO090B	1	323105133	0	0	323105133	3.27	323105133	0	323105133	3.27	0	3.27	0	0	0	0	323105133
	ICICI PRUDENTIAL EQUITY & DEBT FUND	AAAAI0038F	1	741223797	0	0	741223797	7.49	741223797	0	741223797	7.49	0	7.49	0	0	0	0	741223797
	SBI-ETF NIFTY 50	AABTS6407Q	1	125039498	0	0	125039498	1.26	125039498	0	125039498	1.26	0	1.26	0	0	0	0	125039498
	HDFC TRUSTEE COMPANY LTD. A/C HDFC BALANCED AD	AAATH1809A	1	432967409	0	0	432967409	4.38	432967409	0	432967409	4.38	0	4.38	0	0	0	0	432967409
	FRANKLIN INDIA FOCUSED EQUITY FUND	AAATT4931H	1	99238992	0	0	99238992	1	99238992	0	99238992	1	0	1	0	0	0	0	99238992
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Alternate Investment Funds		6	945496	0	0	945496	0.01	945496	0	945496	0.01	0	0.01	0	0	0	0	945496
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Foreign Portfolio Investors		503	1125577082	0	0	1125577082	11.38	1125577082	0	1125577082	11.38	0	11.38	0	0	0	0	1125577082
	T. ROWE PRICE INTERNATIONAL STOCK FUND	AAACT6073H	1	108456571	0	0	108456571	1.1	108456571	0	108456571	1.1	0	1.1	0	0	0	0	108456571
(f)	Financial Institutions/Banks		22	95953152	0	0	95953152	0.97	95953152	0	1166673094	0.97	0	0.97	0	0	0	0	95953152
	LIFE INSURANCE CORPORATION OF INDIA	AAACL0582H	1	1061369182	0	0	1061369182	10.73	1061369182	0	1061369182	10.73	0	10.73	0	0	0	0	1061369182
(g)	Insurance Companies		36	1295803631	0	0	1295803631	13.1	1295803631	0	1295803631	13.1	0	13.1	0	0	0	0	1295803631
(h)	Provident Funds/Pension Funds		1	72331677	0	0	72331677	0.73	72331677	0	72331677	0.73	0	0.73	0	0	0	0	72331677
(i)	Any Other		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (B)[1]		601	4576566181	0	0	4576566181	46.25	4576566181	0	4576566181	46.25	0	46.25	0	0.00	0	0.00	4576566181
(2)	Central Government/ State Government(s)/ President of India		5	13143103	0	0	13143103	0.13	13143103	0	13143103	0.13	0	0.13	0	0	0	0	13143103
	Sub Total (B)[2]		5	13143103	0	0	13143103	0.13	13143103	0	13143103	0.13	0	0.13	0	0.00	0	0.00	13143103
(3)	Non-Institutions																		
(a)	i. Individual shareholders holding nominal share capital up to Rs. 2 Lakhs		709513	185290041	0	0	185290041	1.87	185290041	0	185290041	1.87	0	1.87	0	0	0	0	185261532
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs		437	28539698	0	0	28539698	0.29	28539698	0	28539698	0.29	0	0.29	0	0	0	0	28539698
(b)	NBFCs Registered with RBI		12	678812	0	0	678812	0.01	678812	0	678812	0.01	0	0.01	0	0	0	0	678812
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Overseas Depositories (Holding DRs)/Balancing figure		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Category	Category & Name of the Shareholder	PAN	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)			
(e)	Any Other		18279	42241937	0	0	42241937	0.43	42241937	0	42241937	0.43	0	0.43	0	0	0	0	42183936
	Bodies Corporate		1945	16944393	0	0	16944393	0.17	16944393	0	16944393	0.17	0	0.17	0	0	0	0	16944372
	Non Resident Indians		5664	4729632	0	0	4729632	0.05	4729632	0	4729632	0.05	0	0.05	0	0	0	0	4671772
	Non Resident Non Repartriates		4405	2670869	0	0	2670869	0.03	2670869	0	2670869	0.03	0	0.03	0	0	0	0	2670869
	Overseas Corporate Bodies		1	3000	0	0	3000	0	3000	0	3000	0	0	0	0	0	0	0	3000
	Foreign Individuals		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Trust		51	397467	0	0	397467	0	397467	0	397467	0	0	0	0	0	0	0	397467
	Foreign Portfolio Investor (Individual)		3	22500	0	0	22500	0	22500	0	22500	0	0	0	0	0	0	0	22500
	Clearing Member		214	7595678	0	0	7595678	0.08	7595678	0	7595678	0.08	0	0.08	0	0	0	0	7595678
	Foreign Body		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Unclaimed or Suspense or Escrow Account		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Resident HUF		4	120	0	0	120	0	120	0	120	0	0	0	0	0	0	0	0
	Employees/ Office Bearers		5991	8991397	0	0	8991397	0.09	8991397	0	8991397	0.09	0	0.09	0	0	0	0	8991397
	IEPF		1	886881	0	0	886881	0.01	886881	0	888207	0.01	0	0.01	0	0	0	0	886881
	Sub Total (B)(3)		728241	256750488	0	0	256750488	2.59	256750488	0	256750488	2.59	0.00	2.59	0	0.00	0	0.00	256663978
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		728847	4846459772	0	0	4846459772	48.98	4846459772	0	4846459772	48.98	0	48.98	0	0.00	0	0.00	4846373262

Details of the shareholders acting as persons in Concert including their Shareholding:

Name of shareholder	Name of PAC	No. of shareholders	Holding %
N/A			

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account

No. of shareholders	No. of Shares
2	2323

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Share holders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

Annexure

Table V- Statement showing details of significant beneficial owners (SBOs)

Sr. No	Details of the SBO			Details of the registered owner			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:			Date of creation / acquisition of significant beneficial interest#
	(I)			(II)			(III)			
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Whether by virtue of:			
						Shares	<input type="text"/>	%		
						Voting rights	<input type="text"/>	%		
						Rights on distributable dividend or any other distribution	<input type="text"/>	%		
						Exercise of control				
						Exercise of significant				

* In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.