## Format of Holding of Specified securities: Equity Shares

1.	Name of Listed Entity:	NTPC LTD
2.	Scrip Code/Name of Scrip/Class of Security:	BSE scrip code:532555, NSE: NTPC-EQ
3.	Share Holding Pattern Filed under:	Reg.31(1)(b) as on 30.6.2020
4.	Declaration :	
а	Whether the Listed Entity has issued any partly paid up shares	No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
С	Whether the Listed Entity has any shares against which depository receipts are issued?	No
d	Whethere the Listed Entity has any shares in locked-in?	No
е	Whethere any shares held by promoters are pledge or otherwise encumbered?	No

The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

	Category of Shareholder	No of Share- holders	No of fully paid up equity shares held	No of Partly paid- up equity shares held		Held	Shareholding as a % of total no. of shares	Number of Voting Rights held in each class of securing the securing Rights  No of Voting Rights			Total as a	No. of Shares Underlying Outstanding converttible securities (Including	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of			Number of Sha otherwise encu	Number of equity shares held in dematerialized form	
											% of (A+B+C)	Warrants)	diluted share capital)		Shares held	1.02	As a % of total Shares held	
- (1)	f 100	f	61.0	5. 4	6.00	6	6	Class X	Class Y	Total		6.0	61				<u> </u>	to example.
(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)	1	(X)	(XI)		(XII)	(	XIII)	(XIV)
(A)	Promoter & Promoter Group	1	5048097508	0	0	5048097508	51.02	5048097508	0	5048097508	51.02	0	51.02	0	0	0	0	5048097508
(B)	Public	645365	4846459772	0	0	4846459772	48.98	4846459772	0	4846459772	48.98	0	48.98	О	0	0	0	4846373017
(C)	Non Promoter-Non Public	0	0	0	0	0	0.00	0	C	(	0.00	C	0.00	0	0.00	C	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	C	(	0.00	C	0.00	0	0.00	C	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	o c		0.00		0.00	0	0.00		0.00	
	Total:	645366	9894557280	0	0	9894557280	100.00	9894557280	0	9894557280	100.00	C	100.00	0	0.00	0	0.00	9894470525

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Categor Y	Category & Name of the Shareholder	PAN	No of Share holders		No of Partly paid- up equity shares held	No of Shares Underlying Depository Receipts	Total No. of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)						A+B+C) tot Shai		Shares	As a % of total Shares Shares Shares Shares		es Shares pledi or otherwi- encumbers a % of No. As a ' tot shares Shares		Number of equity shares held in dematerialized form
	(1)	(11)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)			(IX)		(x)	(XI)	-	XII)		(XIII)	(XIV)		
(1)	Indian	• •											•				•				
(a)	Individuals/Hindu undivided Family		0	0	0	0	0	0	0	0			0	0	0	0	0	0	0		
	Central Government/State Government(s)																				
(b)			1	5048097508	0	0	5048097508	51.02	5048097508	0	5048097508	51.02	2 0	51.02	0	0	ه اه	0	5048097508		
	PRESIDENT OF INDIA	EXEMPTCATG	1	5048097508	0	0	5048097508	51.02	5048097508	0	5048097508	51.02	2 0	51.02	0	0	0	0	5048097508		
(c)	Financial Institutions/Banks		0	0	0	0	0	0	0	0	C	) (	0	0	0	0	0	0	0		
(d)	Any Other		0	0	0	0	0	0	0	0	C	) (	0	0	0	0	0	0	0		
	Sub-Total (A)(1)		1	5048097508	0	0	5048097508	51.02	5048097508	0	5048097508	51.02	2 0	51.02	0	0.00	0	0.00	5048097508		
(2)	Foreign																				
	Individuals (Non-Resident Individuals /Foreign Individuals																				
(a)			0	0	0	0	0	0.00	0	0	C	0.00		0.000		0.00		0.00	0		
(b)	Government		0	0	0	0	0	0.00	0	0	C	0.00		0.000		0.00		0.00	0		
(c)	Institutions		0	0	0	0	0	0.00	0	0	C	0.00		0.000		0.00		0.00	0		
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	C	0.00		0.000		0.00		0.00	0		
(e)	Any Other		0	0	0	0	0	0.00	0	0	C	0.00		0.000		0.00		0.00	0		
-	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	C	0.00	0	0.000		0.00	1 0	0.00	0		
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	5048097508	0	0	5048097508	51.02	5048097508	0	5048097508	51.02	2 0	51.02	0	0.00	0	0.00	5048097508		

## Table III - Statement showing shareholding pattern of the Public shareholder

Catego ry	Category & Name of the Shareholder	PAN	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholdin g as a % of total no of shares (A+B+C2)	No of	Voting Rig Class Y	Total	rities  Total as a % of (A+B+C)	No of Shares Underlying Outstanding converttible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)		As a % of total Shares held	Number of Sha or otherwise e	As a % of total Shares held	Number of equity shares held in dematerialized form
	(1)	(II)	(111)	(IV)	(V)	(VI)	(VII)	(VIII)		(D	()		(x)	(XI)		(XII)	(XIII	)	(XIV)
(1)	Institutions																		
(a)	Mutual Funds		34	2022951446	0	0	2022951446	20.45	2022951446	0	2022951446	20.45	0	20.45	0	0	0	0	2022951446
-	CPSE EXCHANGE TRADED SCHEME (CPSE ETF)	AAATR0090B	1	308011087	0	0	308011087	3.11	308011087	0	308011087	3.11	0	3.11	0	0	0	0	308011087
	ICICI PRUDENTIAL EQUITY & DEBT FUND	AAAAI0038F	1	742520364	0	0	742520364	7.5	742520364	0	742520364	7.5	0	7.5	0	0	0	0	742520364
	SBI-ETF NIFTY 50	AABTS6407Q	1	121184091	0	0	121184091	1.22	121184091	0	121184091	1.22	0	1.22	0	0	0	0	121184091
	HDFC TRUSTEE COMPANY LTD. A/C HDFC BALANCE	AAATH1809A	1	444447789	0	0	444447789	4.49	444447789	0	444447789	4.49	0	4.49	0	0	0	0	444447789
	Maria a Caralle ada											0				_			
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	004504	-	0	0	0	0	0	0	0
(c)	Alternate Investment Funds		5	801691	0	0	801691	0.01	801691	0	801691	0.01	0	0.01	0	0	0	0	801691
(d)	Foreign Venture Capital Investors		U U	0	0	0	0	0	0	0	0	0	0	_	0	0	0	0	0
(e)	Foreign Portfolio Investors	*************	509	1131402480	0	0	1131402480	11.43	1131402480	0	1131402480	11.43	0	11.43	0	0	0	0	1131402480
(0)	T. ROWE PRICE INTERNATIONAL STOCK FUND	AAACT6073H	1	108456571	0	0	108456571	1.1	108456571	0	108456571	1.1	0	1.1	0	0	0	0	108456571
(†)	Financial Institutions/Banks		23	1166673094	0	0	1166673094	11.79	1166673094	0	1166673094	11.79	0	11.79	0	0	0	0	1166673094
	LIFE INSURANCE CORPORATION OF INDIA	AAACL0582H	1	1069808567	0	0	1069808567	10.81	1069808567	0	1069808567	10.81	0	10.81	0	0	0	0	1069808567
(g)	Insurance Companies		35	230906328	0	0	230906328	2.33	230906328	0	230906328	2.33	0	2.33	0	0	0	0	230906328
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i)	Any Other		<u> </u>	4553735000	0	0	4553735030	0	4553735030	0	4553735030	0 46.01	0	46.01	0	0	0	0.00	4552735039
$\vdash$	Sub Total (B)(1) Central Government/ State Government(s)/ President		606	4552735039	0	0	4552735039	46.01	4552735039	U	4552735039	46.01	0	46.01	0	0.00	0	0.00	4552/35039
(2)	of India		5	9600994	0	0	9600994	0.1	9600994	٥	9600994	0.1	0	0.1	0	١ ,	0	0	9600994
	Sub Total (B)(2)		5	9600994	0	0	9600994	0.10	9600994	0	9600994	0.10	0		0	0.00	0	0.00	9600994
	Non-Institutions			3000334	Ů	-	3000334	0.10	3000334	- 1	3000334	0.10		0.10		0.00	·	0.00	3000334
, ,	i.Individual shareholders holding nominal share capital																		
(a)	up to Rs.2 lakhs		626061	157253167	0	0	157253167	1.59	157253167	0	157253167	1.59	0	1.59	0	0	0	0	157224413
	ii.Individual shareholders holding nominal share capital																		
<u> </u>	in excess of Rs. 2 Lakhs		321	24086705	0	0	24086705	0.24	24086705	0	24086705	0.24	0	0.24	0	0	0	0	24086705
(b)	NBFCs Registered with RBI		10	624612	0	0	624612	0.01	624612	0	624612	0.01	0	0.01	0	0	0	0	624612
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Categ	Category & Name of the Shareholder	PAN	No. of Shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholdin g as a % of total no of shares (A+B+C2)	Total as a %				No of Shares Underlying Outstanding converttible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	5	As a % of total Shares held	Number of Sha or otherwise e	As a % of total Shares held	Number of equity shares held in dematerialized form
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)		(IX)			(X)	(XI)		(XII)	(XII	I)	(XIV)
(e)	Any Other		18362	102159255	0	0	102159255	1.03	102159255	0	102159255	1.03		1.03		0	0	0	102101254
	Bodies Corporate		1985	18546779	0	0	18546779	0.19	18546779	0	18546779	0.19	0	0.19		0	0	0	18546758
	Non Resident Indians		6134	4990368	0	0	4990368	0.05	4990368	0	4990368	0.05	0	0.05		0	0	0	4932508
	Non Resident Non Repartriates		4596	2583066	0	0	2583066	0.03	2583066	0	2583066	0.03	0	0.03	( c	0	0	0	2583066
	Overseas Corporate Bodies		1	3000	0	0	3000	0	3000	0	3000	0	0	0	0	0	0	0	3000
	Foreign Individuals		0	0	0	0	0	0	(	0	0	0	0	0	) c	0	0	0	0
	Trust		52	57602589	0	0	57602589	0.58	57602589	0	57602589	0.58	0	0.58		0	0	0	57602589
	Foreign Portfolio Investor (Individual)		3	22500	0	0	22500	0	22500	0	22500	0	0	0	) c	0	0	0	22500
	Clearing Member		248	9281218	0	0	9281218	0.09	9281218	0	9281218	0.09	0	0.09			0	0	9281218
	Foreign Body		0	0	0	0	0	0	(	0	0	0	0	0	) (	0	0	0	0
	Unclaimed or Suspense or Escrow Account		0	0	0	0	0	0	(	0	0	0	0	0	) c	0 0	0	0	0
	Resident HUF		4	120	0	0	120		120	0	120	0	0	0	0 0	0	0	0	0
	Employees/ Office Bearers		5338	8241408	0	0	8241408		8241408	0	8241408	0.08	0	0.08		0	0	0	8241408
	IEPF		1	888207	0	0	888207	0.01	888207	0	888207	0.01		0.01		0	0	0	888207
<u> </u>	Sub Total (B)(3)		644754	284123739	0	0	284123739	2.87	284123739	0	284123739	2.87	0.00	2.87	' 0	0.00	0	0.00	284036984
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		645365	4846459772	0	0	4846459772	48.98	4846459772	0	4846459772	48.98	0	48.98	; c	0.00	0	0.00	4846373017

Details of the shareholders acting as persons in Concert including their Shareholding:												
Name of shareholder	Name of PAC	No. of shareholders	Holding %									
N/A												

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account

No. of shareholders	No. of Shares
2	2323

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Catego	Category & Name of	PAN	No of	No of fully	No of Partly	No of Shares	Total No	Shareholding	Number	of Voting	Rights	held in each	No of Shares	Shareholding	Number o	of Locked in	Numbe	r of Shares	Number of
ry	the Shareholder		Share	paid up	paid-up	Underlying		as a % of total	class of s	ecurities			Underlying		Shares		1	or otherwise	1 ' ' 1
			holders	equity shares	equity shares held	Depository Receipts	Shares Held	no of shares (A+B+C2)					Outstanding converttible	1			encumb	pered	shares held in
				held	Sharesheid	neceipts	(IV+V+VI		No of	Voting Ri	ahts	Total as a %	securities	convertible	No.	As a % of total	No.	As a % of	demateria
							,		14001	voting m	БПСЗ	of (A+B+C)	(Including Warrants)	Securities (as a percentage of diluted share	140.	Shares held	140.	total Shares held	lized form
									Class X	Class Y	Total			capital)					
	(1)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)		(	IX)	•	(X)	(XI)		(XII)		(XIII)	(XIV)
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	) NA	NA	. 0
	Employee Benefit Trust (under SEBI(Share based																		
	Employee Benefit)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA.	NA	0
	Total Non-Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)		0					0.00				0.00		0.00		0.00			

## <u>Annexure</u>

Table V- Statement showing details of significant beneficial owners (SBOs)

Sr. No	Details of t	he SBO		Details	of the regist	ered owner	Details of	f holding/ exercise of right o direc	ether	Date of creation / acquisition of significant beneficial interest#	
										(IV)	
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality		Whether by Shares  Voting rights  Rights on distributabledividend or any other distribution  Exercise of Exercise of significant	%   %   %		

<sup>\*</sup> In case the nature of the holding/ exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

<sup>#</sup> This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar.